



# THEOREM OF THE DAY

**The Pigeonhole Principle** Let  $q_1, q_2, \dots, q_n$  be positive integers and let  $Q$  be a set of size  $q_1 + \dots + q_n - n + 1$ . Take a mapping from  $Q$  to a set  $P = \{p_1, \dots, p_n\}$  of size  $n$ . Then, under this mapping,

1. for some  $i, 1 \leq i \leq n$ ,  $p_i$  is the image of at least  $q_i$  points in  $Q$ ;
2. if any of the  $q_i$  are allowed to be infinity then some  $p_i$  in  $P$  is the image of infinitely many points in  $Q$ .

0, 1	0, 2																			
0, 3	1, 2	0, 4	1, 3																	
0, 5	1, 4	2, 3	0, 6	1, 5	2, 4															
0, 7	1, 6	2, 5	3, 4	0, 8	1, 7	2, 6	3, 5													
0, 9	1, 8	2, 7	3, 6	4, 5	0, 10	1, 9	2, 8	3, 7	4, 6											
0, 11	1, 10	2, 9	3, 8	4, 7	5, 6	0, 12	1, 11	2, 10	3, 9	4, 8	5, 7									
0, 13	1, 12	2, 11	3, 10	4, 9	5, 8	6, 7	0, 14	1, 13	2, 12	3, 11	4, 10	5, 9	6, 8							
0, 15	1, 14	2, 13	3, 12	4, 11	5, 10	6, 9	7, 8	0, 16	1, 15	2, 14	3, 13	4, 12	5, 11	6, 10	7, 9					
0, 17	1, 16	2, 15	3, 14	4, 13	5, 12	6, 11	7, 10	8, 9	0, 18	1, 17	2, 16	3, 15	4, 14	5, 13	6, 12	7, 11	8, 10			
0, 19	1, 18	2, 17	3, 16	4, 15	5, 14	6, 13	7, 12	8, 11	9, 10	0, 20	1, 19	2, 18	3, 17	4, 16	5, 15	6, 14	7, 13	8, 12	9, 11	

Table of unordered pairs  $\{i, m - i\}, 0 \leq i \leq \lfloor (m - 1)/2 \rfloor$ , with  $m = 1 \dots n$ . The table is infinite ( $n \rightarrow \infty$ ) but we have tabulated up to  $n = 20$ . Each table row covers two values of  $m$ , so the table has 10 rows. The pairs are partitioned as belonging in odd or even columns of the table. A challenge: find a large subset of numbers all of whose pairs lie in the even (yellow) columns. The boxed entries in the table perhaps look hopeful for the set  $\{1, 3, 4, 6, 10\} \dots$

In fact, a set of five numbers can never have all pairs lying in even columns of the table! Symmetry might suggest a similar limit would hold for the odd columns as well but this is not true. We invoke an easy consequence of the infinite version of the Pigeonhole Principle, viz **The Infinite Ramsey Theorem** Suppose that each of the two-element subsets of  $\mathbb{N}$  is coloured with one of two colours,  $B$  or  $Y$ , say. Then there is an infinite subset  $X$  of  $\mathbb{N}$  all of whose two-element subsets have the same colour.

**Proof.** We will specify an infinite sequence,  $v_0, v_1, \dots$ , of natural numbers from which we can construct an infinite sequence of two-elements subsets  $\{w_i, w_j\}$ , all coloured  $B$  or all coloured  $Y$ . Let  $V_0 = \mathbb{N}$  and let  $v_0$  be its least element, i.e. 0. For all  $i > 0$ ,  $\{v_0, i\}$  is either  $B$  or  $Y$ . By the Pigeonhole Principle there is an infinite subset  $V_1$  of  $\mathbb{N}$  with  $\{v_0, i\}$  having the same colour for all  $i \in V_1$ . Let  $v_1$  be the least element of  $V_1$  and repeat this process with  $V_1$  in place of  $\mathbb{N}$  to specify  $v_2 \in V_2$  and then  $v_3 \in V_3$ , and so on. Now observe that, for any  $i$ , if  $j > i$  then  $v_j$  lies in  $V_{i+1}$  so  $\{v_i, v_j\}$  has the same colour. For short, let us say that  $v_i$  is either  $B$  or  $Y$ . This colours the whole sequence of  $v_i$ s. Apply the Pigeonhole Principle again: there must be an infinite subsequence of the  $v_i$  having the same colour, say  $B$ . Write this sequence  $w_0, w_1, \dots$ . Then every two-element subset  $\{w_i, w_j\}$  of  $\{w_0, w_1, \dots\}$  is  $B$ .

**Illustration.** In the table above,  $B$  will be the odd-numbered columns and  $Y$  the even-numbered columns.

Take  $V_1$  to be the odd numbers: all pairs  $\{0, 2k + 1\}, k \geq 0$ , are  $B$  (odd). Then  $v_1 = 1$ . Take  $V_2$  to be the alternate odd numbers: all pairs  $\{1, 4k + 3\}, k \geq 0$ , are  $Y$ , and  $v_2 = 3$ . Take  $V_3 = \{4k + 3, k \geq 1\}$ ; all pairs  $\{3, 4k + 3\}, k \geq 1$ , are  $B$ , etc.

A  $B$ -coloured subsequence:  $w_0 = 0, w_1 = 3, w_2 = 7, w_3 = 11, \dots$

While the finite version of the Pigeonhole Principle has a long history, it is commonly attributed to Dirichlet who was most likely the first to use the infinite version in his *Lectures on Number Theory*, published posthumously in 1863.

**Web link:** [www.cs.utexas.edu/EWD/transcriptions/transcriptions.html](http://www.cs.utexas.edu/EWD/transcriptions/transcriptions.html): navigate to EWD980 (don't get sidetracked!)

**Further reading:** *Combinatorics and Graph Theory, 2nd edition* by John M. Harris, Jeffrey L. Hirst and Michael J. Mossinghoff Springer, 2008 (chapter 3). The example shown in the table is from chapter 7 of *Linear Orderings* by Joseph G. Rosenstein, Academic Press, 1982.

