

# Transforms, minors and generalised Tutte polynomials

Graham Farr

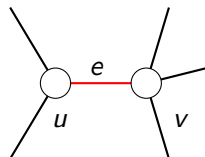
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(work done partly at the Isaac Newton Institute for Mathematical Sciences  
(Combinatorics and Statistical Mechanics Programme), Cambridge, 2008)

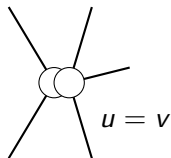
14 January 2010

# Contraction and Deletion

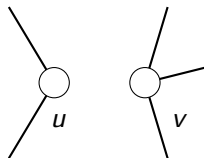
$G$



$G/e$



$G \setminus e$



# Cutset space

Incidence matrix of graph  $G$ :

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*Cutset space* := rowspace of incidence matrix over  $GF(2)$ .

*Indicator function* of cutset space:

$f : 2^E \rightarrow \{0, 1\}$ , defined by:

$$f(X) = \begin{cases} 1, & \text{if } X \text{ is in cutset space;} \\ 0, & \text{otherwise.} \end{cases}$$

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# Contraction and deletion in terms of $f$

Indicator function of cutset space of  $G$ :

$$f : 2^E \rightarrow \{0, 1\}$$

For **contraction** and **deletion** of some  $e \in E$ :

Indicator functions of cutset spaces of ...

$$G/e$$

$$f // e : 2^{E \setminus \{e\}} \rightarrow \{0, 1\}$$

$$f // e(X) = \frac{f(X)}{f(\emptyset)}$$

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For any  $f : 2^E \rightarrow \{0, 1\}$  ... or ...  $\rightarrow \mathbb{R}$  ...:

Define  $Qf$  by:

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$rank(X) := \# \text{vertices meeting } X - \# \text{components of } X$   
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**Inversion:** if  $\rho : 2^E \rightarrow \{0, 1\}$  then define  $Q^\dagger \rho$  by

$$(Q^\dagger \rho)(V) = (-1)^{|V|} \sum_{W \subseteq V} (-1)^{|W|} 2^{\rho(E) - \rho(E \setminus W)}$$

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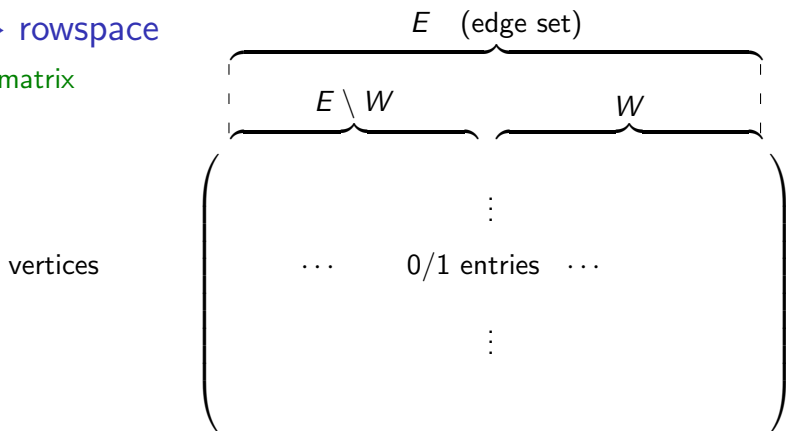
# Rank $\leftrightarrow$ rowspace

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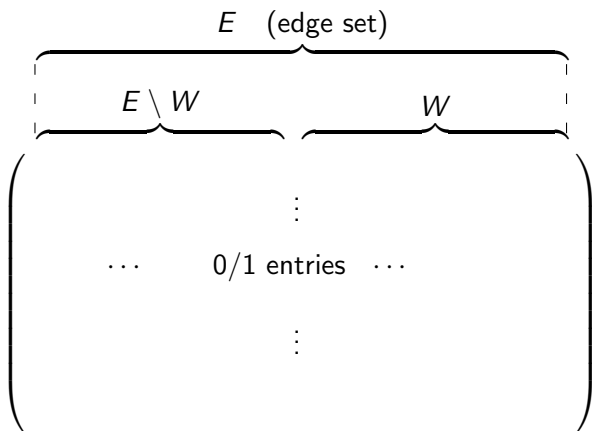
Incidence matrix



Rank  $\leftrightarrow$  rowspace

Incidence matrix  
 $\rightarrow$  echelon form

vertices



Rank  $\leftrightarrow$  rowspace

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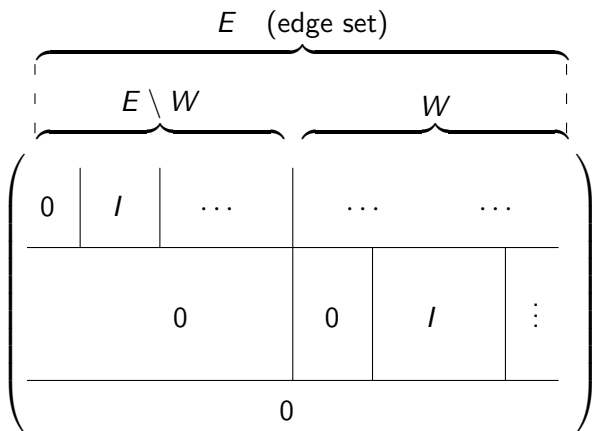
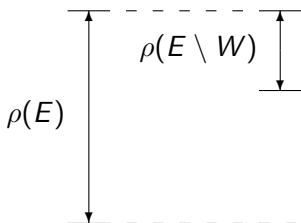
$$\begin{array}{c} \overbrace{\hspace{10em}}^{E \text{ (edge set)}} \\ \overbrace{\hspace{4em}}^{E \setminus W} \quad \overbrace{\hspace{4em}}^{W} \\ \left( \begin{array}{c|c|c|c|c} 0 & I & \dots & \dots & \dots \\ \hline & & 0 & 0 & I & \vdots \\ \hline & & & 0 & & \end{array} \right) \end{array}$$



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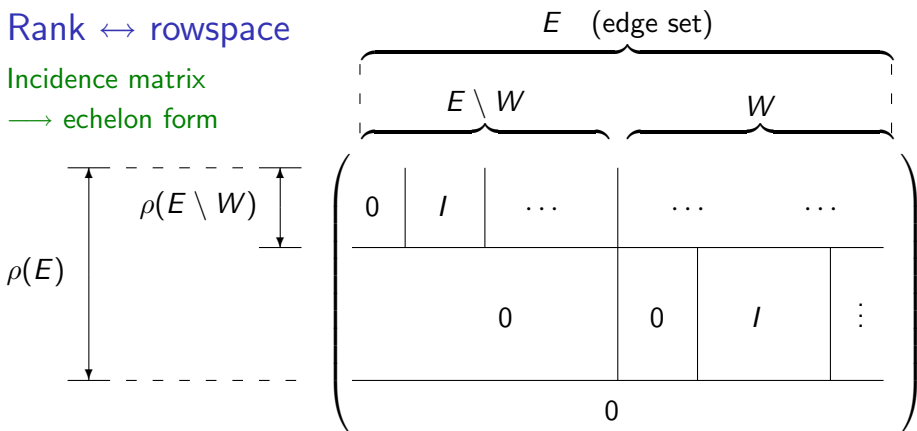
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Count rowspace members that are 0 outside  $W$ :

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# Duality and the Hadamard transform

Classical rank function duality:

$$\rho^*(X) := |X| + \rho(E \setminus X) - \rho(E) + \rho(\emptyset)$$

Hadamard transform:

$$\hat{f}(W) := \frac{1}{2^n} \sum_{X \subseteq E} (-1)^{|W \cap X|} f(X)$$

[back to rank transform](#)

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These are linked by the rank transform  $Q$  (GF, 1993):

$$\begin{array}{ccc} f & \xrightarrow{Q} & Qf \\ \text{Hadamard transform} \downarrow & & \downarrow \text{matroid-style dual} \\ \hat{f} & \xrightarrow{Q} & (Qf)^* = Q\hat{f} \end{array}$$



# Interpolating between contraction and deletion

(GF, 2004)

For  $e \in E$ ,  $X \subseteq E \setminus \{e\}$ :

Contraction

$$(f // e)(X)$$

$$\frac{f(X)}{f(\emptyset)}$$

Deletion

$$(f \parallel e)(X)$$

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$\lambda$ -minor

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( $\lambda = 1$ )

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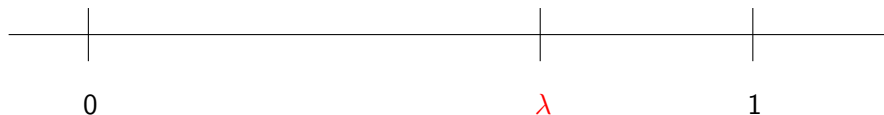
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## Duality, contraction and deletion

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Fixed points:

$$\lambda = \pm\sqrt{2} - 1$$



## $\lambda$ -rank functions

Define  $Q^{(\lambda)}f$  by:

$$(Q^{(\lambda)}f)(W) = \log_2 \left( \frac{(1 + \lambda^*)^{|V|} \sum_{X \subseteq E} \lambda^{|X|} f(X)}{\sum_{X \subseteq E \setminus W} \lambda^{|W \cap \bar{V}|} (\lambda^*)^{|W \cap V|} f(X)} \right)$$

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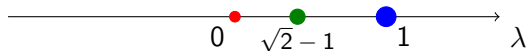
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Duality:

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Leads to a theory of  $\lambda$ -Whitney functions, extending the Whitney rank generating function of a graph or matroid (GF, 2004, 2007).

From  $\lambda$  to  $\mu$

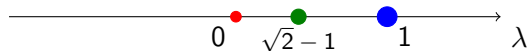


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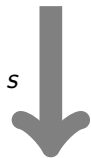
$$\lambda^* = \frac{1 - \lambda}{1 + \lambda}$$

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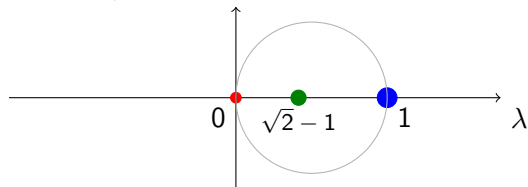


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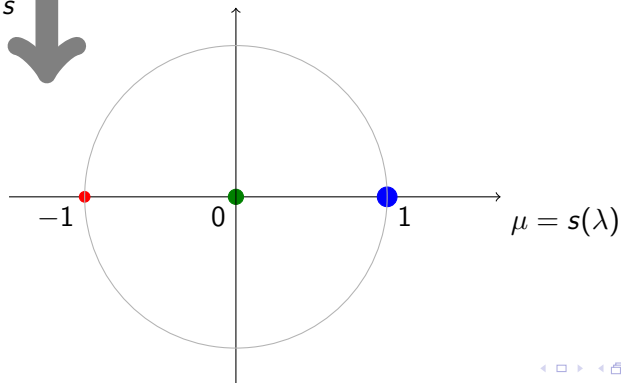
$$\mu^* = -\mu$$

From  $\lambda$  to  $\mu$



Duality:

$$\lambda^* = \frac{1-\lambda}{1+\lambda}$$



$$\mu^* = -\mu$$

## From $\lambda$ to $\mu$

$$\mu = s(\lambda) := -(3 + 2\sqrt{2}) \frac{\sqrt{2} - 1 - \lambda}{\sqrt{2} + 1 + \lambda}$$

$$\lambda = s^{-1}(\mu) := \frac{1 + \mu}{\sqrt{2} + 1 - (\sqrt{2} - 1)\mu}$$

Notation:

$$Q[\mu] := Q(s^{-1}(\mu))$$

$$f \parallel_{[\mu]} e := f \parallel_{s^{-1}(\mu)} e$$

# The transform $L^{[\mu]}$

$$\begin{aligned}(L^{[\mu]}f)(V) &= (2\sqrt{2})^{-|E|} \times \\ &\sum_{X \subseteq E} (\sqrt{2} - 1 + (\sqrt{2} + 1)\mu)^{|X \cap V|} \\ &\quad \cdot (1 - \mu)^{|X \setminus V| + |V \setminus X|} \\ &\quad \cdot (\sqrt{2} + 1 + (\sqrt{2} - 1)\mu)^{|E \setminus (X \cup V)|} f(X)\end{aligned}$$

Special cases:

$\mu = 1$  : identity transform

$\mu = -1$  :  $\sqrt{2}^{|E|} \times$  Hadamard transform



## Properties of the transforms

Composition of transforms  $\longleftrightarrow$  multiplication of their parameters:

$$L^{[\mu_1]} L^{[\mu_2]} = L^{[\mu_1 \mu_2]}$$

$$Q^{[\mu_1]} L^{[\mu_2]} = Q^{[\mu_1 \mu_2]}$$

$$Q^{\dagger[\mu_1]} Q^{[\mu_2]} f = \text{constant} \cdot A_f(s^{-1}(\mu_2))^{-1} \cdot L^{[\mu_2/\mu_1]} f$$

... where  $A_f(z) := \sum_{X \subseteq E} f(X) z^{|X|}$

(weight enumerator of  $\text{supp } f$ , if  $f$  is  $\{0, 1\}$ -valued)

$$Q^{[\mu_1]} Q^{\dagger[\mu_2]} Q^{[\mu_2]} = Q^{[\mu_1 \mu_3 / \mu_2]}$$

Also have generalisations of Plancherel's and Parseval's theorems.

# $[\mu]$ -minors

## Theorem

$$(L^{[\mu_1]} f) \parallel_{[\mu_2/\mu_1]} e = \text{ScalingFactor}(f, \mu_1, \mu_2) \cdot L^{[\mu_1]}(f \parallel_{[\mu_2]} e)$$

Up to constant factors:

$$\begin{array}{ccc} f & \xrightarrow{L^{[\mu_1]}} & L^{[\mu_1]} f \\ \downarrow [\mu_2]\text{-minor} & & \downarrow [\mu_2/\mu_1]\text{-minor} \\ f \parallel_{[\mu_2]} e & \xrightarrow{L^{[\mu_1]}} & \end{array}$$

# An extension of MacWilliams' Identity

## Theorem

$$A_{L[\mu_2/\mu_1]_f}(s^{-1}(\mu_1)^*) = \text{factor}(\mu_1, \mu_2, m) \cdot A_f(s^{-1}(\mu_2)^*).$$

For original MacWilliams identity: put

$$\begin{aligned} f &= \text{indicator function of binary linear code,} \\ \mu_2 &= -\mu_1 \end{aligned}$$

# The $L^{[\mu]}$ transform and the weight enumerator

## Theorem

$$L^{[\mu]} \left( 2^{|E|} \cdot a_1(\mu)^{|\bullet|} \cdot \hat{f}(\bullet) \right) (E) = a_2(\mu)^{|E|} A_f \left( \left( \frac{1-\mu}{1+\mu} \right)^2 - 1 \right).$$

If  $f$  is the indicator function of a binary linear code, and  $\mu \in \mathbb{C}$  with  $|\mu| = 1$ , then this result connects ...

$L^{[\mu]}$  transform (with  $\mu$  on complex unit circle) of close relative of indicator function of *dual* code  $\longleftrightarrow \dots \longrightarrow$  weight enumerator of binary linear code at real argument  $< -1$